



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application No/Application No	09/844,447/7,089,428
Filing Date	April 27, 2001
First Named Inventor	Timothy P. Farley
Art Unit	2132
Examiner Name	Zand, Kambiz
Attorney Docket Number	05456.105006

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	1.	WO 99/13427	03-18-1999	MCI WORLD-COM, INC.	Page No. 60-69, Claims 12, 32, 33, and 36	
	2.	WO 01/84285	08-11-2001	Internet Security Systems, Inc.	Page No. 59-63, Claims 7, 8, 14, and 22	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	3.	CROSBIE, MARK et al., Active Defense of a Computer System Using Autonomous Agents, COAST Group Dept. of Computer Sciences Purdue, 1995, No. 95-008, "citeseer.ist.psu.edu/138521.html" [Pertinent: Pg. 10, paragraph 7]	
	4.	DENNING, D.E., An Intrusion-Detection Model, Software Engineering, IEEE Transactions on: Vol. SE-13, Issue 2, Feb. 1987 Pgs. 222-232. [Pertinent: Pgs. 5-14, paragraph V]	
	5.	PORRAS, P.A. et al, Penetration State Transition Analysis: A Rule-Based Intrusion Detection Approach, Computer Security Applications Conference, 1992, Proceedings, Eighth Annual Nov. 30 -Dec. 4, 1992, pgs. 220-229. [Pertinent: Pgs. 222-226 and paragraph 3]	
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	7.	ICE Cap Administrator's Guide Version 1.0 BETA, NETWORK ICE, 1999, Network Ice Corporation. [Pertinent pages 27-33, paragraph 4]	
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	10.	MUNSON, J.C., et al., Watcher: the missing piece of the security puzzle, Computer Security Applications Conference, 2001. ACSAC 2001. Proceedings 17 th Annual, Dec. 10-14, 2001, pages 230-239. [Pertinent paragraphs 5.1-5.7]	
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	12.	NetRanger User's Guide Version 2.1.1, Cisco Systems, Inc., 1998. [Pertinent: Pages 4-62 to 4-95, paragraph 4]	
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	14.	BACE, REBECCA, An Introduction to Intrusion Detection & Assessment for System and Network Security Management, Infidel, Inc. for ICISA (White Paper) April 1999. [Pertinent: Pages 23-32]	
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Examiner
SignatureDate
Considered

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	17.	CUPPENS, FREDERIC, Cooperative Intrusion Detection, ONERA Centre de Toulouse (funded by the DGA/CASSI). [Pertinent: Pages 5-9, paragraphs 5-7]	
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Examiner Signature		Date Considered	